# **Automated Export System Trade Interface Requirements**

Appendix F

License and License Exemption Type Codes and Reporting Guidelines

December 2024





#### License and License Exemption Type Codes

Report a License or License Exemption Type Code in a commodity filing.

The License Code/License Exemption Code indicates the type of export license, export permit, license exemption, license exception or other export authorization. The code indicates the type of licensing requirements that apply to the export shipment as determined by various government agencies participating in AES. An Export License Number, CFR Citation Number or other authorization symbol is required. An Export Control Classification Number (ECCN) may conditionally be required.

Each Line Item Detail grouping reported must cite the license authority (License Number or other authorization symbol). An ECCN must be cited when required.

The ECCN, when reported in AES must be a valid 5 - position ECCN as listed on the Commerce Control List. See Supplement 1 to § 774 of the EAR for a complete list of valid ECCNs and their descriptions. www.access.gpo.gov/bis/ear/ear\_data.html

An example would be if the Bureau of Industry and Security (BIS) license code 'C43', Gift Parcels and Humanitarian Donations (GFT), is reported then the only acceptable Export Information Code (EIC) would be 'UG' which identifies a single gift parcel as encompassed by BIS General License GFT. Certain Modes of Transportation may not be acceptable for a shipment based on the License Code reported. Using the example above, AES would not accept a License Code of 'C43' with a Mode of Transportation of '70' (Fixed Transport).

Table A: Department of Commerce/Bureau of Industry and Security (BIS) License
Type Codes.

Lic.	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value
Code C30	<b>Description</b> Licenses issued by BIS authorizing an export, re-export, or other regulated activity. The term 'license' does not include authority represented by a 'License Exception'. EAR99 may be reported as an ECCN.	Report the License Number.	Mandatory	IW, OS, OI, TL,CH	All	Required YES
C33	No License Required (NLR) Part 758. For items not subject to a license requirement, NLR may be used. Part 758.1(g)(3) Mandatory reporting of ECCN for items with a reason for control other than or in addition to antiterrorism (AT). EAR99 may be reported as an ECCN.	Report 'NLR'.	Allowed	All except UG, FS, FI	All	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
	For items under 600 series ECCNs with a .y paragraph, use C60 (DY6). NLR shipments of 600-series and 9x515 ECCNs (except .y paragraph) can be shipped only to Australia, Canada, and United Kingdom. For Census purposes, use C33 for shipments between the U.S. and Puerto Rico and from the U.S. to the U.S. Virgin Islands.					

		Exp Lic#/		Allowed		
		CFR Citation/		Export	Allowed	License
T : a		Authorization		Info	MOT	
Lic.	Description		ECCN			Value Dominad
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
C35	Limited Value Shipments (LVS)	Report 'LVS'.	Mandatory	CR, IS,	All except	NO
	Part 740.3			TE, TL,	·70'	
				CH, CI,	(Fixed	
	EAR99 may NOT be reported as		Must be one of the	MS, GS,	Transport)	
	an ECCN.		following:	IP, IR,		
			0A018	OI, OS,		
	Only allow Countries of		0A501	DD, IW		
	Destination from the Country		0A502			
	Group B list		0A504			
	Reference:		0A505			
	www.access.gpo.gov/bis/ear/pdf/740spir.p		0A602			
	<u>df</u>		0A606			
			0A614			
			0A617			
			0A918			
			0B501			
			0B505			
			0B602			
			0B604			
			0B606			
			0B614			
			0B617			
			0C606			
			0C617			
			1A001			
			1A002			
			1A002			
			1A008			
			1A613			
			1B001			
			1B001 1B002			
			1B002 1B003			
			1B018			
			1B607			
			1B608			
			1B608 1B613			
			1B013 1C002			
			1C002			
			1C003			
			1C005			
			1C006			
			1C007			
			1C008			
			1C009			
			1C010			
			1C608			
			2A001			
			2B003			

		Exp Lic#/		Allowed		
		CFR Citation/		Export	Allowed	License
Lic.		Authorization		Info	MOT	Value
	Description	Symbol/KPC#	ECCN	Codes		
Code	Description	Symbol/KPC#	2B005	Codes	Codes	Required
			2B007			
			2B018			
			2B350			
			3A001			
			3A002			
			3A611			
			3B001			
			3B002			
			3B611			
			3C001			
			3C002			
			3C003			
			3C004			
			3C005			
			3C006			
			4A001			
			4A003			
			4A004			
			5A001			
			5A002			
			5A003			
			5A004			
			5B001			
			6A001			
			6A002			
			6A003			
			6A004			
			6A005			
			6A006			
			6A007			
			6A008			
			6B002			
			6B004			
			6B007			
			6B619			
			6C002			
			6C004			
			6C005			
			7A611			
			7B611			
			8A001			
			8A002			
			8A609			
			8A620			
			8A918			
			8B001			
			8B609			
u			00009			

		Exp Lic#/ CFR Citation/		Allowed Export	Allowed	License
Lic.		Authorization		Info	MOT	Value
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
Cout		Symbol Ki Ch	8B620	Coues	Cours	Requireu
			8C609			
			9A002			
			9A003			
			9A515			
			9A604			
			9A610			
			9A619			
			9A620			
			9B001			
			9B002			
			9B003			
			9B004			
			9B006			
			9B008			
			9B009			
			9B515			
			9B604			
			9B610			
			9B619			
			9B620			
			9C610			
			9C619			

		Exp Lic#/		Allowed		
		CFR Citation/		Export	Allowed	License
Lic.		Authorization		Info	МОТ	Value
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
C36	Shipments to B Countries (GBS)	Report 'GBS'.	Mandatory	CR, GP,	All except	NO
	Part 740.4			IS	'70'	
			Must be one of the	TE, TL,	(Fixed	
	EAR99 may NOT be reported as		following:	MS	Transport)	
	an ECCN.		1A004, 1A005, 3B002,	GS, IP,		
			4A003, 1C006, 2A001,	IR, TP,		
	Only allow Countries of		3A001, 3A002, 3B001,	OI, OS,		
	Destination from the Country		3C002, 3C005, 3C006,	DD, IW		
	Group B list		5A001, 5B001, 6A001,			
			6A004, 6A005, 6A008,			
	Reference:		6B002, 6B004, 6C004,			
	www.access.gpo.gov/bis/ear/pdf/740spir.p df		8A002, 9B001, 9B002,			
			9B003, 9B004, 9B006			
C38	Restrict Technology and Software	Report 'TSR'.	Mandatory	CR, GP,	All except	NO
0.58	(TSR)	Report ISK.	Wandatory	IS, TE,	'70'	NO
	Part 740.6 (AES or EEI filing not		Must be one of the	TL, MS,	(Fixed	
	required)		following:	GS, IP,	(Transport)	
	required)		1D001, 1D002, 3E002,	IR, OI,	Transport)	
	EAR99 may NOT be reported as		5D001, 6D003, 9D003,	OS, IW		
	an ECCN.		1E001, 1E002, 2D001,	05,111		
			2D002, 2D003 , 2E001,			
	Only allow Countries of		2E002, 2E003, 3D001,			
	Destination from the Country		3D002, 3D003, 3D004,			
	Group B list		3E001, 3E003, 5E001,			
			3E004, 6D001, 6D002,			
	Reference:		6E001, 6E002, 6E003,			
	www.access.gpo.gov/bis/ear/pdf/740spir.p		8D001, 8D002, 8E001,			
	df		8E002, 9D018, 9E018,			
			4D001, 4E001, 0E018,			
			2D018, 2E018, 4D001,			
			8E002			

		Exp Lic#/ CFR Citation/		Allowed Export	Allowed	License
Lic. Code	Description	Authorization Symbol/KPC#	ECCN	Info Codes	MOT Codes	Value Required
C40	Temporary Imports, Exports, and Re-exports ( <b>TMP</b> ) Part 740.9 Consolidates the following categories: Temporary exports and re-exports; Items temporarily in the U.S.; Beta Test Software. EAR99 may be reported as an ECCN.	Report 'TMP'.	Allowed	CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, DD, IW	All except '70' (Fixed Transport)	NO
C41	Servicing and Replacement of Parts and Equipment ( <b>RPL</b> ) Part 740.10 Consolidates the following categories: One-for-one replacement of parts; servicing and replacement of equipment. EAR99 may be reported as an ECCN.	Report 'RPL'.	Mandatory	GP, IS, TE, TL, MS, GS, IP, IR, TP, OI OS, IW	All except '70' (Fixed Transport)	NO
C42	Government and International Organizations (GOV) Part 740.11 (AES or EEI not required) Consolidates the following categories: International safeguards; shipments to U.S. Agencies and personnel; shipments to Agencies of cooperating governments. EAR99 may be reported as an ECCN.	Report 'GOV'.	Mandatory	GP, IS, TE, TL, CH, CI, MS, GS, IP, IR, TP, OI, OS, DD, IW	All except '70' (Fixed Transport)	NO
C43	Gift Parcels and Humanitarian Donations ( <b>GFT</b> ) Part 740.12 (AES or EEI not required) Items under 600 series ECCNs are not eligible under this license type. Consolidates the following categories: Gift parcels; Humanitarian donations.	Report 'GFT'.	Mandatory	UG, IW	All except '70' (Fixed Transport)	NO

Lic.		Exp Lic#/ CFR Citation/ Authorization		Allowed Export Info	Allowed MOT	License Value
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
	EAR99 may be reported as an ECCN.					
C44	Technology and Software – Unrestricted ( <b>TSU</b> ) Part 740.13 Consolidates the following categories: Operating technology and software; Sales technology and software; Software updates; General software. EAR99 may be reported as an ECCN.	Report 'TSU'.	Mandatory	CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW	All except '70' (Fixed Transport)	NO
C45	Baggage ( <b>BAG</b> ) Part 740.14 EAR99 may be reported as an ECCN.	Report 'BAG'.	Mandatory	OI, OS, IW	All except '70' (Fixed Transport)	NO
C46	Aircraft and Vessels ( <b>AVS</b> ) Part 740.15 (AES or EEI not required) Items under 600 series ECCNs are not eligible under this license type. EAR99 may be reported as an ECCN.	Report 'AVS'.	Mandatory	GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW	All except '70' (Fixed Transport)	NO

		Exp Lic#/		Allowed		
т:.		CFR Citation/		Export	Allowed	License
Lic. Code	Description	Authorization Symbol/KPC#	ECCN	Info Codes	MOT Codes	Value Required
C50	Encryption Commodities and Software (ENC) Part 740.17 Permits the export and re-export of any key length encryption commodities and software after review; permits the export and re- export of any key length encryption to U.S. subsidiaries without review. EAR99 may NOT be reported as an ECCN.	Report 'ENC'.	Mandatory Must be one of the following: 5A002, 5A004, 5B002, 5D002, 5E002	CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW	All except '70' (Fixed Transport)	NO
C51	License Exception Agricultural Commodities ( <b>AGR</b> ) Part 740.18 Authorizes exports and certain re- exports of agricultural commodities to Cuba. Items under 600 series ECCNs are not eligible under this license type. EAR99 may be reported as an ECCN.	Report License Number.	Mandatory	CH, OI, OS, IW	All except '20', '21' (Rail), '30', '31' (Truck), '70' (Fixed Transport)	NO
C53	Computers ( <b>APP</b> ) Part 740.7 Adjusted Peak Performance (APP) replaces Composite Theoretical Performance (CTP) EAR99 may NOT be reported as an ECCN.	Report 'APP'.	Mandatory Must be one of the following: 4A003, 4D001, 4E001	CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW	All except '70' (Fixed Transport)	NO
C54	Short Supply Western Red Cedar – (SS-WRC) Part 754.4 EAR99 may NOT be reported as an ECCN.	Report 'SS-WRC'	Mandatory Must be 1C988	All	All except '70' (Fixed Transport)	NO
C57	Authorization for Validated End- User (VEU) for Certain Authorized Exports and Re- exports of Commerce Control List items to the People's Republic of China and India.	Report 'VEU'.	Mandatory	OI, OS, TL, IW	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
	Items to China under 600 series ECCNs are not eligible under this license type.	Symbol KI Ch			coucs	Requireu
C58	License Exception CCD allows export and re-export of consumer products related to the free flow of information to, from and among the Cuban, Russian, and the Belarusian people, enabling communications among people within those countries and with the outside world. See 740.19 of the EAR. EAR99 may be reported as an ECCN.	Report 'CCD'	Must be one of the following: EAR99, 3A991, 4A994, 4D994, 5A991, 5A992, 5D991, 5D992, 5A992, 7A994	OI, OS, CH, CI	All except '70' (Fixed Transport)	NO
C59	Strategic Trade Authorization (STA) allows an exception to export specific controlled items to certain countries that would otherwise require a license because of CCL-based license requirements. EAR99 may NOT be reported as an ECCN.	Report 'STA'	600-series ECCNs and other ECCNs are eligible to the extent permitted under part 740.20 of the EAR	OI, OS, CH, CI	All	NO
C60	.y "600 series" and former USML items containing .y subparts to ECCNs because they have less military significance than other subparts in the ECCN. EAR99 may NOT be reported as an ECCN.	Report 'DY6'	Allowed When reported, the ECCN must be one of the following: 0A606 0A617 0D606 0D617 0E606 0E617 1A613 1D613 1E613 3A611 3D611 3E611 7A611 7D611 7E611 8A609 8A620 8D609	OI, OS, CH, CI, MS	All	NO

		Exp Lic#/		Allowed		<b>T</b> •
Lic.		CFR Citation/ Authorization		Export Info	Allowed MOT	License Value
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
Couc	Description	Symbol/Ki C#	8D620	Cours	Cours	Requireu
			8E609			
			8E620			
			9A515			
			9A610			
			9A619			
			9B619			
			9D515			
			9D610			
			9D619			
			9E610 9E619			
			92019			
C62	Support for the Cuban People	Report 'SCP'	Mandatory	All	All	NO
	(SCP) Part 740.21 of the BIS	1		except		
	Export Administration		Must be one of the	UG, FS,		
	Regulations (EAR). EAR99 may		following:	FI		
	be reported as an ECCN.		EAR99, 0A999, 1A995,			
			1A999, 1C990, 1C996,			
			1C998, 1D993, 1D999,			
			1E994, 1E998, 2A991, 2A994, 2A999, 2B991,			
			2B992, 2B993, 2B996,			
			2B997, 2B998, 2B999,			
			2D991, 2D992, 2D994,			
			2E991, 2E994, 3A991,			
			3A992, 3A999, 3B991,			
			3B992, 3C992, 3D991,			
			3E991, 4A994, 4B994,			
			4C994, 4D993, 4D994,			
			4E992, 4E993, 5A991,			
			5A992, 5B991, 5C991, 5D991, 5D992, 5E991,			
			5E992, 6A991, 6A993,			
			6A994, 6A995, 6A996,			
			6A997, 6A999, 6B995,			
			6C992, 6C994, 6D992,			
			6D993, 6E992, 6E993,			
			7B994, 7D994, 7E994,			
			8A992, 8D992, 8E992,			
			9A990, 9A991, 9A992,			
			9B990, 9B991, 9D990,			
			9D991, 9E990, 9E991,			
			9E993			

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C63	.y components under 0A501.y and former USML items containing .y subparts to ECCNs because they have less military significance than other subparts in the ECCN destined to certain countries. EAR99 may NOT be reported as an ECCN.	Report 'YFA'	Allowed When reported, the ECCN must be one of the following: 0A501	All except UG, FS, FI	All	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C64	Authorized Cybersecurity Exports (ACE) that authorizes exports, reexports and transfers (in-country) of cybersecurity items and certain IP network surveillance products, which are not also controlled in Catgeory 5 – Part 2 of the CCL or for Surreptitious Listening (SL) reasons.	Report 'ACE'	Mandatory Must be one of the following: 4A005, 4D001, 4D004, 4E001, 5A001, 5B001, 5D001 and 5E001	All except 'UG'	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C65	Temporary General License for authorization of certain exports, reexports, in-country transfers, and exports from abroad destined to or within China or Macau by companies not headquartered in Country Groups D:1 or D:5 or E (see supplement no. 1 to part 740 of the EAR) to continue or engage in integration, assembly (mounting), inspection, testing, quality assurance, and distribution of items covered by ECCN 3A090.a or .b, 4A090, and associated software and technology in ECCN 3D001 (for 3A090.a or .b), 3E001 (for 3A090.a or .b), 4D090, or 4E001; or any item that is a computer, integrated circuit, "electronic assembly" or "component" and associated software and technology, specified elsewhere on Commerce Control List (supplement no. 1 to part 774), which meets or exceeds the performance parameters of ECCN 3A090.a or .b or 4A090.	Report "TGL"	Mandatory Must be one of the following: 3A001, 3A090, 3D001, 3E001, 4A003, 4A004, 4A005, 4A090, 4D001, 4D090, 4E001, 4D090, 5A002, 5A004, 5A992, 5D002, 5D992, 5E002, 5E992, 3A991, 3B001, 3B992, 3B993, 3B994, 3D991, 3D993, 3D994, 3E993, 3E991, or 3E994	All Except UG	All except '70' (Fixed Transport)	ŇO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C66	Supply Chain Authorization Letter for emergency export authorization granted by the BIS Deputy Assistant Secretary for export Administration. EAR99 may be reported as an ECCN.	Report "SCAL"	Required	All Except UG	All except '70' (Fixed Transport)	NO

		Exp Lic#/ CFR Citation/		Allowed Export	Allowed	License
Lic.		Authorization		Info	MOT	Value
Code	Description	Symbol/KPC#	ECCN	Codes	Codes	Required
C67	Notified Advanced Computing	Report	Mandatory	All	All except	YES
	(NAC) (WITH notification	NAC		Except	'70'	
	required for certain exports	confirmation	Must be one of the	CH, CI,	(Fixed	
	and reexports)	number "(A######)"	following: 3A090, 4A090, 3A001,	HV, UG	Transport)	
	See § 740.8 of the EAR.	(Аттттт)	4A003, 4A004, 4A005,			
	See § 740.0 of the Lift.		5A002, 5A004, 5A992,			
	Note: For 3A001.z, 4A003.z,		5D002, or 5D992			
	4A004.z, 4A005.z, 5A002.z,					
	5A004.z, 5A992.z, 5D002.z, or					
	5D992.z that are authorized					
	under License Exception NAC:					
	• The .z ECCN is not entered					
	in the ECCN field. • ".z" must be entered as the					
	• .2 must be entered as the first characters to appear in					
	the Commodity Description					
	block, as specified in					
	§758.1(g)(5) of the EAR.					
	<b>Countries Allowed:</b>					
	Macau or destinations specified in					
	Country Group D:5, or to all					
	<b>destinations</b> for exports and					
	reexports to any entity headquartered in, or with an					
	ultimate parent headquartered in,					
	Macau or a destination specified					
	in Country Group D:5.					

Lic.		Exp Lic#/ CFR Citation/ Authorization		Allowed Export Info	Allowed MOT	License Value
Code		Symbol/KPC#	ECCN	Codes	Codes	Required
Codd C68	<ul> <li>Description</li> <li>Advanced Computing Authorized (ACA) (NO notification required)</li> <li>See §740.8 of the EAR.</li> <li>Note: For 3A001.z, 4A003.z, 4A004.z, 4A005.z, 5A002.z, 5A004.z, 5A992.z, 5D002.z, or 5D992.z that are authorized under License Exception NAC:</li> <li>The .z ECCN is not entered in the ECCN field.</li> <li>".z" must be entered as the first characters to appear in the Commodity Description block, as specified in §758.1(g)(5) of the EAR.</li> <li>Countries Allowed:</li> <li>Exports, reexports, or transfers (in-country) to destinations specified in Country Groups D:1 or D:4; or</li> <li>Transfers (in-country) to Macau or any destination specified in Country Group D:5.</li> </ul>	Symbol/KPC# Report 'ACA'	ECCN Mandatory Must be one of the following: 3A090, 4A090, 3A001, 4A003, 4A004, 4A005, 5A002, 5A004, 5A992, 5D002, or 5D992	Codes All Except CH, CI, HV, UG	Codes All except '70' (Fixed Transport)	Required NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C69	License Exception Medical Devices (MED) allows authorizes the export, reexport, and transfer (in- country) of "medical devices" designated as EAR99 and "parts," "components," "accessories," and "attachments" designated as EAR99 that are exclusively for use in or with "medical devices" designated as EAR99 to or within Russia, Belarus, the temporarily occupied Crimea region of Ukraine, or the covered regions of Ukraine (as specified in § 746.6(a)(2) of the EAR). Terms of paragraphs (a) through (d) must be met to use License Exception MED. See 740.23 of the EAR.	Report 'MED'	Must be EAR99	All except UG	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C70	Implemented Export Control (IEC) that authorizes exports, reexports and transfers (in- country) to and by countries that have implemented equivalent technical controls. See §740.24 and §740.2 of the EAR for additional restrictions.	Report 'IEC'	Mandatory Must be one of the following: 2B910, 2D910, 2E903, 2E910, 3A901, 3A904, 3B001, 3B903, 3B904, 3C907, 3C908, 3C909, 3D001, 3D002, 3D901, 3D907, 3E001, 3E901, 3E905, 4A906, 4D906, and 4E906	All Except UG	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C71	High Bandwidth Memory (HBM) that authorizes exports, reexports and transfers (in- country) of items specified in ECCN 3A090.c if all terms and conditions within paragraphs §740.25 (a) through (e) are met. See §740.25 and §740.2 of the EAR for additional restrictions.	Report 'HBM'	Mandatory Must be 3A090	All Except UG	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
C72	Restricted Fabrication Facility (RFF) that authorizes exports, reexports and transfers (in- country) of items not specified in ECCNs 3B001, 3B002, 3B993, 3B994, 3D992, 3D993, 3D994, 3E992, 3E993, or 3E994 to specific entities on the Entity List (Supplement No. 4 to Part 744) when § 740.26 is referenced in the Entity List entry. See §740.26 and §740.2 of the EAR for additional restrictions.	Report 'RFF'	Mandatory All except 3B001, 3B002, 3B993, 3B994, 3D992, 3D993, 3D994, 3E992, 3E993, or 3E994	All Except UG	All except '70' (Fixed Transport)	NO

Lic. Code	Description	Export License Nbr / CFR Citation / Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
N01	NRC Form 250/250A 'Specific' export license for nuclear material and equipment.	Report the License Number.	Allowed	MS, GS, OI, OS	All except '60' (Passenger Hand Carried), '70' (Fixed Transport)	NO
N02	NRC 'General' Export License	Report the CFR Citation Number.	Allowed	MS, GS, OI, OS	All except '60' (Passenger Hand Carried), '70' (Fixed Transport)	NO

### Table B: Nuclear Regulatory Commission (NRC) License Type Codes.

### Table C: Department of State/ Directorate of Defense Trade Control (DDTC) License Type codes.

When the License Code S61, S73, S85 or LOA is reported and accepted in AES, the filer is required to present the original license and proof of filing to CBP prior to export.

See 'Appendix O – DDTC Exemption Codes' for a list of ITAR Exemption numbers.

Lic. Code	Description	DDTC ITAR Exemption	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# / ACM#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
SAG	Agreements Agreements (i.e. AG, BA, MA, RR, TA and VD)	Report ITAR DDTC Exemption Citation.	Report spaces.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
SAU	Australia ITAR Exemptions	Report ITAR DDTC Exemption Citation	Report the Approved Community Member Number. #	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
SCA	Canadian ITAR Exemption	Report ITAR DDTC Exemption Citation.	Report spaces.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO

Lic. Code	Description	DDTC ITAR Exemption	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# / ACM#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
SGB	United Kingdom ITAR Exemptions	Report ITAR DDTC Exemption Citation	Report the Approved Community Member Number. #	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
S00	License Exemption Citation	Report ITAR DDTC Exemption Citation.	Report spaces.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
S05	DSP-5 Permanent export of unclassified defense articles and services.	Report spaces.	Report the License Number.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	YES
S61	DSP-61 Temporary import of unclassified articles subsequently exported.	Report spaces.	Report the License Number.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	YES
\$73	DSP-73 Temporary export of unclassified articles.	Report spaces.	Report the License Number.	Allowed	MS, GS, TP, OI, OS	All except '70' (Fixed Transport)	YES
S85	DSP-85 Temporary or permanent import or export of classified articles.	Report spaces.	Report the License Number.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	YES
S94	Foreign Military Sales (FMS) Letter of Acceptance (LOA)	Report spaces.	Report the case id Format: CC- two character country code, X- Implementing agency, XXX – Case Identifier Report: GB-B-ACD	Allowed	MS, GS, FS, FI	All except '60' (Passenger Hand Carried), '70' (Fixed Transport)	YES

Table D: Department of Treasury/Office of Foreign Assets Control (OFAC) License	
Type Codes.	

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KPC#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
T10	OFAC 'Specific' License Specific export license issued, on a case- by-case basis, by OFAC for certain export shipments that would otherwise be barred by sanctions.	Report the case id Format: CC-two character country code, YYYY- Year, CASENO – case number assigned by OFAC, AMEND- amendment number if the license was amended. Report: IA2015123456	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
T11	OFAC 'General' Export License Export shipments permitted under 'General' license which conform to criteria set forth in an OFAC authorization published as a regulation (no individual clearance by OFAC) covers certain shipments that would otherwise be barred by sanctions.	Report the CFR citation or if there is no CFR citation, the Federal Register Citation if there is one, or the General License Number.	Allowed	MS, GS, OI, OS	All except '70' (Fixed Transport)	NO
T12	Kimberley Process Certificate Number The unique identifying number of the Kimberley Process Certificate (KPC) issued by the United States Kimberley Process Authority must accompany any export (reexport) of rough diamonds. See standards, practices, and procedures of the Kimberley Process set forth in the Rough Diamond Control Regulations, 31 CFR part 592, promulgated by OFAC (69 FR 56936 dated September 23, 2004).	Report the KPC Number.	EAR99 or blank only	OI, OS	All except '70' (Fixed Transport)	YES

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KCP#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
OPA	Other Partnership Agency License AES filers are required to comply with current paper documentation requirements for agencies not accommodated in AES (i.e. DEA, ATF).	Report the License Number or 'OPA'.	Allowed	All except UG, FS, FI, IW	All	NO

## Table F: Department of Energy/National Nuclear Security Administration(DOE/NNSA) License Type Code.

Lic. Code	Description	Exp Lic#/ CFR Citation/ Auth Symbol/KCP#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
E01	Authorization for Nuclear Security Enterprise of government-owned, contractor-operated (GOCO) Management and Operations entities that perform work under the directions and oversight of the National Nuclear Security Administration, to export items authorized by the Atomic Energy Act Authorization/Licensing and as acknowledged in the International Traffic in Arms Regulations section 123.20 and 125.1.	Report 'AEA'	Not Allowed	OS	All except '12', '20', '21', '70'	NO

### Table G: Voluntary Disclosure (VD) License Type Codes.

Lic. Code	Description	Exp Lic#/ CFR Citation/ Authorization Symbol/KCP#	ECCN	Allowed Export Info Codes	Allowed MOT Codes	License Value Required
VDC	Commerce Department Voluntary Self- Disclosure	Report spaces	Allowed	All	All	NO
VDS	State Department Voluntary Disclosure	Report spaces	Allowed	MS, GS, OI, OS, FS, FI, TP	All except '70' (Fixed Transport)	NO
VDO	Other Partner Government Agency Voluntary Disclosure	Report spaces	Allowed	All except UG, FS, FI, IW	All	NO