CBP and Trade Automated Interface Requirements

Importer Security Filing Status Notification

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U.S. Customs and Border Protection

ace

Importer Security Filing Status Advisory

This chapter provides record formats to provide the status of an Importer Security Filing.

RECORD DESCRIPTIONS

Mandatory Importer Security Filing processing results that provides data pertaining to the transaction number.

Optional reference Record used to provide reference information

Mandatory Importer Security Filing processing results output record that provides data pertaining to bill numbers.

Mandatory Importer Security Filing processing results output record that provides an Advisory Message.

Importer Security Filing Status Advisory

Presented in this chapter are Importer Security Filing (ISF) Processing Results output records for communicating the status of an ISF.

Processing Results

The processing results notify an automated ISF filer of the status of an ISF and whether an AMS bill of lading has been matched to the ISF, e.g. "On File" or "Not On File". Problems typically occur if the bill number submitted with the ISF data is incorrect, not on file, or is qualified with a bill type (House or Ocean) that does not match what in AMS. When an ISF is not matched with a bill, the following messages are generated at predetermined intervals:

- Immediately following the filing of an Importer Security Filing

- Five (5) days after the original file date of the an Importer Security Filing

- Twenty (20) days after the original file date of the an Importer Security Filing

- Thirty (30) days after the original file date of the an Importer Security Filing

There are two categories of status messages that are related to a mismatch between the Bill NUMBER submitted in an ISF and that which is in AMS. The first category consisting of the S2, S3, S4, and S5 disposition codes is related to the Bill Number not being on file in AMS. The second category consisting of the S6, SA, SB, and SC disposition codes is related to the Bill TYPE in the ISF being different from that in AMS.

If an ISF is matched to a bill at any time prior to the 30-day deadline, a status notification with an S1 disposition code will be generated and transmitted to the ISF filer.

If an ISF is received with the same Bill Number and ISF Importer Number as that submitted in a previous ISF by a different filer, a status message with an S7 disposition code will be sent to the initial ISF filer indicating that a duplicate ISF was filed by another filer.

Input: There are no ISF Status Advisory processing results input records.

Output: Record Identifiers SA10, SA30, SA50 are the mandatory Importer Security Filing processing results output records.

The application identifier on Record Identifier B is SA. For additional information on Record Identifier B, refer to the Application Control chapter of this publication.

Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
1	August 31, 2016	Record Layouts	Changed ACS terminology to match ACE terminology

Record Identifier SA10 (Output)

This is a mandatory Importer Security Filing processing results that provides data pertaining to the transaction

number. The SA10 record occurs once.

Record Identifier SA10 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal SA.	
Record Type	2N	3-4	M	Must always equal 10.	
ISF Transaction Number	15X	5-19	C	Unique transaction identifier assigned by CBP	1
Filler	61X	20-80	M	Space-filled	

Note   1

CBP assigns a unique ISF Transaction Number (FFF-NNNNNNNNNNN) that will be returned in the SF10
record of the output response from CBP to the ISF filer in the SN transaction set when an input ISF is accepted.
The ISF Transaction Number will also be returned here in the SA notification. FFF=Filer code and
NNNNNNNNNNN=numeric sequence number.

Record Identifier SA20 (Output)

This is a conditional record that returns reference information.

Record Identifier SA20 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	*Note*
Control Identifier	4AN	1-4	M	Must always equal SA20.	
Code Qualifier	3AN	5-7	M	Code that defines the Reference Data.	1
Reference Data	50AN	8-57	M	Reference Data	
Filler	23X	58-80	M	Space-fill	

Note   1

Valid Code Qualifiers are:

CR   User-defined Reference Number

CR will only be returned if it is provided in the original SF input record.

Record Identifier SA30 (Output)

This is a mandatory Importer Security Filing output record that provides data pertaining to House/Regular Bill Numbers. The SA30 record is the header record for the SA30-SA50 loop. The SA30 record occurs once per loop, and each SA30-SA50 loop can be repeated up to 999 times.

Record Identifier SA30 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal SA.	
Record Type	2N	3-4	M	Must always equal 30.	
Bill Number	16AN	5-20	C	The bill of lading number as reported in the SF15 record of the SF input.	1
Filler	60X	21-80	M	Space-filled	

Note   1

The first four positions are occupied by the Standard Carrier Alpha Code (SCAC) of the issuer of the bill of lading. The remaining twelve positions are occupied by a unique alphanumeric sequence identifier.

There will be one SA30 record including either a house bill of lading or a regular (simple or straight) bill of lading as reported in the SF15 record of the SF input. If multiple bills of lading were reported in the SF input, one SA transaction will occur for each bill of lading.

Record Identifier SA50 (Output)

This is a mandatory Importer Security Filing output record that provides the ISF status message for the bill of lading reported in the SA30. The SA50 record is the trailer record for the SA30-SA50 loop. The SA50 record occurs once per loop, and each SA30-SA50 loop can be repeated up to 999 times.

Record Identifier SA50 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal SA.	
Record Type	2N	3-4	M	Must always equal 50.	
Disposition Code	2AN	5-6	M	A code that reports the status of the ISF. Valid codes are listing in Appendix N of this publication.	1
Remarks	40A	7-46	M	A narrative description of the disposition code.	
Filler	34X	47-80	M	Space fill.	

Note   1

When a filer receives the S2 disposition code immediately after filing the ISF, the S1 disposition code will be provided once an AMS bill of lading matches to the ISF. This does NOT occur immediately upon receipt of the matching bill of lading in AMS by CBP. The matches occur at scheduled intervals throughout the day.

EXAMPLES:

A8888FLRPASSWD01010801

B018888FLRSA

SA10FLR-00000000001

SA30HBSC999999999999

SA50S1BILL ON FILE

Y8888FLRSA00005

Z8888FLR   01010801

A8888FLRPASSWD01010801

B018888FLRSA

SA10FLR-00000000001

SA30HBSC555555555555

SA50S2NO BILL MATCH (NOT ON FILE)

Y8888FLRSA00005

Z8888FLR   01010801

A8888FLRPASSWD01010801

B018888FLRSA

SA10FLR-00000000001

SA30OBSC777777777777

SA50S5NO BILL MATCH (NOT ON FILE)

Y8888FLRSA00005

Z8888FLR   01010801