CBP and Trade Automated Interface Requirements

PGA Status Notification Codes

May 2, 2022





Pub # 0875-0419

Contents

Introduction	5
SO70 Output Related Codes	6
Valid PGA Entry Level Status codes	6
Valid PGA Line Level Status codes	6
Valid PGA Status Reason Codes	7
SO71 Output Related Codes	9
Valid PGA Reference Identification Number Qualifiers	9
Valid PGA Line Sub Reason Codes	9
PO70 Output Related Codes	14
Valid PGA Line Level Status codes	14
Valid PGA Status Reason Codes	14
PO71 Output Related Codes	15
Valid PGA Reference Identification Number Qualifiers	15
Valid PGA Line Sub Reason Codes	





Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
10	May 2, 2022	A) SO71 Output Related Codes; Valid PGA Line Sub Reason codes	A) Added subreason code 201 (PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS) associated to the following reason codes: 6, 11, 12, 14, 21, 25, 26, 28, 37, 40 and 52; Updated description of the following sub reason codes (associated to reason code 14) to change FWS to PGA 155,156,157,162,163,173,174,175,176,177,178
		B) SO70 Output Related Codes; Valid PGA Status Reason Codes	B) Updated description of reason code 45 (associated to status code 07) to: PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PRIOR REVIEW REMAINS; Added reason code 45 associated to status code 02; Updated description of reason code 35 (associated to status code 11) to change FWS to PGA; Updated description for reason code 46 (associated to status code 07) to change APHIS to PGA; Updated description for reason code 50 (associated to status code 07) to change FWS to PGA; Updated description for reason code 51 (associated to status code 02) to change FWS to PGA.
9	December 13, 2021	A) SO71 output related codes; Valid PGA line sub reason codes	A) Added subreason code 122 to reason code 14
8	November 24, 2021	A) Introduction	A) Added reference to the WO70 and WO71 records from the "ACE CARGO MANIFEST/IN-BOND/ENTRY STATUS QUERY" document.
7	November 4, 2021	A) SO70 output related codes; valid PGA status reason codes B) SO71 output Related Codes; Valid PGA Line Sub Reason Codes	 A) Updated definition for reason code 46 associated to status code 07 B) Actually updated the description for subreason code 190. Added subreason codes 146, 147 associated to reason code 40





Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
6	October 4, 2021	A) SO70 Output Related Codes; Valid PGA Status Reason Codes	A) Added reason code 37, associated to status code 02; Updated description for reason code 46
		B) SO71 output Related Codes; Valid PGA Line Sub Reason Codes	B) Added subreason codes 198, 199, 200, associated to reason code 37; Updated description for subreason code 190
5	July 13, 2021	A) SO71 Output Related codes; Valid PGA line Sub Reason Codes	A) Added sub reason code 197 to reason code 14
4	June 8, 2021	A) SO71 Output Related codes; Valid PGA line Sub Reason Codes	A) Added subreason codes 195, 196 to reason code 14; Removed subreason codes 123, 124, 125, 149 from reason code 26; Added subreason codes 123, 124, 125, 149 to reason code 14;
3	May 5, 2021	A) SO70 Output Related codes; Valid PGA Line Level Status Codes B) SO71 Output Related codes; Valid PGA Line	 A) Updated the description for code 08 to add the word 'action' B) Added reason code 21 and three associated subreason codes (100, 101, 181) Added subreason code 187 under reason code
2	April 23,	Sub Reason Codes A) Valid PGA Status	25 A) Added description for Reason Code 21
2	2021	Reason Codes	A) Added description for Reason Code 21
1	April 06, 2021	A) Document created	A) Document created
		B) SO70 Related codes	B) Added PGA Line Level Status code 15; Added PGA Status Reason code 95, associated to line level status code 15; Added PGA status reason code 21, associated to line level status code 02
		C) SO71 Related codes	C) Added PGA Line Sub Reason code 182, 184, 185, and 192 associated to status reason Code 40; Added PGA Line Sub Reason code 193, associated to status reason 12; Added PGA Line Sub Reason code 194, associated to status reason 95





Introduction

This document provides the PGA-related output codes associated with the SO70, SO71, WO70, WO71, and PO70, PO71 records of the following CBP documents:

- Entry Summary Status Notification
- Cargo Release Status Notification
- Status notification for Stand-alone PE data submission
- ACE Cargo Manifest/In-Bond/Entry Status Query
 - The WO70-71 records mimic the ACE Cargo Release Notification records (SO70-71). Please refer to the SO70-71 section of this document for valid PGA output codes for the WO70-71.

The PGA-related output codes have been removed from the above documents and now appear in this document. References to this document have been made in the above documents, where the codes were removed.

This document is intended to streamline future updates to the PGA-related output codes, without needing to update the above individual documents each time. Instead, this single document will be updated.





SO70 Output Related Codes

Valid PGA Entry Level Status codes

PGA Entry Level status represents the cumulative effect of all stakeholder PGA reviews on a given Entry.

Below are the codes associated to Note 1.

Code	Description
01	DATA UNDER PGA REVIEW
02	HOLD INTACT
04	DATA REJECTED PER PGA REVIEW
07	MAY PROCEED

If all PGA Line Level Status Codes are 'May Proceed', the PGA Entry Level Status code will be 'May Proceed'.

If at least one PGA Line Level Status Code is 'Hold Intact', the PGA Entry Level Status code will be 'Hold Intact'.

If at least one PGA Line Level Status Code is 'Data Rejected per PGA Review', the PGA Entry Level Status Code will be 'Data Rejected per PGA Review.'

If one PGA Line Level Status Code is 'Hold Intact' and one PGA Line Level Status Code is 'Data Rejected per PGA review', then the PGA Entry Level Status code will be 'Data Rejected per PGA Review.' Otherwise, the PGA Entry Level Status code will be 'Data Under PGA Review'.

Valid PGA Line Level Status codes

PGA Line Level status is the status of a PGAs review at each PGA line level on an Entry.

Below are the codes associated to Note 2.

Code	Description
01	DATA UNDER PGA REVIEW
02	HOLD INTACT
04	DATA REJECTED PER PGA REVIEW
07	MAY PROCEED
08	PN ONLY – PGA DATA RELATED
	ENFORCEMENT ACTION
10	DOCUMENT REQUIRED
11	INTENSIVE – EXAM/SAMPLE
12	DOCS REQUIRED - SATISFIED
13	EXAM -RESOLVED
15	DOCUMENT AVAILABLE FOR TRADE
	FROM PGA

If, on a single Entry, any PGA line with Prior Notice (PN) data is rejected by FDA, then trade will only receive the information related to the PN reject(s). Trade will not receive PN confirmation numbers for PGA lines in





this situation that may have been accepted. Trade must cancel and resubmit the entry with the updated PN data.

If an agency's Intensive or Document Required is resolved by CBP, trade may not receive this SO70 notification of Satisfied or Resolved (12, 13) by PGAs. However, the SO60 message with the entry's status will always be sent.

Note that Codes 10, 11, 12 and 13 indicate activity at the Entry level. The PGA line number, if provided, is only for information.

Valid PGA Status Reason Codes

These represent the **reason(s)** each PGA can provide to trade regarding the review status.

Below are the codes associated to Note 3.

If PGA Line Level Status Code is:	Then a Valid Reason Code can be:	Description
02	06	DO NOT DEVAN
02	11	GENERAL
02	12	EXAM/SAMPLE
02	15	DATA INACCURATE - CONTACT PGA
02	21	COMPLIANCE ACTION
02	25	ADDITIONAL VERIFICATION NEEDED
02	28	LAB RESULTS PENDING
02	29	LAB RESULTS FAILED
02	37	PGA DECISION RESCINDED-DO NOT DISTRIBUTE PRODUCT
02	40	REFUSED
02	44	FAILURE TO PRESENT
02	45	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
02	51	PGA CONDITIONAL CLEARANCE
04	14	DATA REJECTED PER PGA REVIEW
04	26	PN DATA REJECTED
07	22	GENERAL
07	23	RELEASED
07	24	RELEASED WITH COMMENTS
07	45	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
07	46	PGA CLEARED. MAY REQUIRE CBP AGRICULTURE REVIEW.





If PGA Line Level Status Code is:	Then a Valid Reason Code can be:	Description
07	50	PGA CLEARED
08	52	PN REFUSED - INACCURATE PN
08	30	PN REFUSED –PRIOR NOTICE NOT SUBMITTED FOR ALL FOODS
08	31	PN-REGISTRATION HOLD- MANUFACTURER NOT REGISTERED
10	90	ADDITIONAL INFORMATION NEEDED
11	30	PN REFUSED- PRIOR NOTICE NOT SUBMITTED FOR ALL FOODS
11	31	PN- REGISTRATION HOLD- MANUFACTURER NOT REGISTERED
11	34	EXAM
11	35	PGA CLEARANCE REFUSED
11	36	PARTIAL RELEASE AND REFUSED
11	52	PN REFUSED – INACCURATE PRIOR NOTICE
12	60	DOCS REQUIRED - SATISFIED
13	65	RESOLVED - GENERAL
13	66	NO THREAT FOUND
15	95	ADDITIONAL PGA DOCUMENTATION IS PROVIDED





SO71 Output Related Codes

Valid PGA Reference Identification Number Qualifiers

PGA Reference Identification Qualifiers represent the **type** of additional data being provided by the PGA to trade.

Below are the codes associated with Note 1. Additional codes will be added by PGAs as they are known.

For positions 5-6, valid qualifier codes are:

Code	Description
01	Prior Notice Confirmation Number
02	Permit Number
03	AMS Confirmation Number
04	AMS FV6 Number
05	AMS LPS-222 Number

For positions 61-62, valid qualifier codes are:

Code	Description
06	FWS eDECS Confirmation Number

Valid PGA Line Sub Reason Codes

PGA Line Sub Reason codes further describes the reason codes in the SO70.

Below are the codes associated with Note 4. There can be multiple sub reason codes for a given PGA line. The following are valid PGA line sub reason codes:

If the Reason	Then a valid Sub Reason	Description
code is:	Code can be:	
06	188	DO NOT DEVAN – EXAM
11	100	DETAINED
11	101	CANCEL DETENTION
11	104	PGA INSPECTION NEEDED
11	201	PGA EXPECTS THAT ITS DATA HAS NOT
		CHANGED ON THIS VERSION. THEREFORE, THE
		PROIR REVIEW REMAINS.
12	105	EXAM
12	106	EXAM NOTIFY
12	189	TRANSFER TO APHIS CUSTODY
12	190	TRANSFER TO PGA FACILITY
12	193	PGA DOCUMENT AVAILABLE FOR TRADE FROM
		PGA
12	201	PGA EXPECTS THAT ITS DATA HAS NOT
		CHANGED ON THIS VERSION. THEREFORE, THE
		PROIR REVIEW REMAINS.
14	107	FOREIGN ULTIMATE CONSIGNEE





If the	Then a valid	Description
Reason	Sub Reason	•
code is:	Code can be:	
14	108	MISSING REGISTRATION
14	109	REGISTRATION NOT ON FILE
14	110	MISMATCH IN REGISTRATION
		CANCELLED MANUFACTURER
14	111	FACILITY REGISTRATION
14	112	FACILITY REGISTRATION
		INVALIDATED BY FDA
14	113	DATA INVALID QUANTITY FORMAT
14	114	INVALID ID FOR MANUFACTURER
14	115	INVALID ID FOR SHIPPER
14	116	INVALID ID FOR IMPORTER
14	117	INVALID ID FOR ULTIMATE CONSIGNEE
14	118	INVALID STATE/ZIP FOR US MANUFATURER
14	119	INVALID COUNTRY OF PRODUCTION
14	120	INVALID COUNTRY OF SHIPPING
14	122	INVALID EXEMPTION (FME) QUALIFIER
14	123	INVALID PNC NUMBER
14	124	EXPIRED PNC NUMBER
14	125	CANCELLED PNC NUMBER
14	126	INVALID FILER
14	127	INVALID OWNER ENTITY ID
14	128	INVALID SUBMITTER ENTITY ID
14	129	INVALID TRANSMITTER ENTITY ID
14	130	INVALID DELIVER TO PARTY ENTITY ID
14	149	FDA PRODUCT CODE MISMATCH TO
		GROWER/CONSOLITATOR/MANUFACTURER
		ROLE CODE
14	131	MISSING BILL OF LADING OR AIR WAY BILL
14	151	MISSING DATA
14	152	INVALID CANADIAN PROVINCE
14	153	INVALID PRODUCT CODE
14	154	MISSING OR INVALID DATE
		MISSING, INVALID, OR EXPIRED
14	155	IMPORT/EXPORT LICENSE NUMBER
14	156	INVALID PORT
14	157	INACCURATE INTENDED USE DESCRIPTION
14	158	MISSING CARRIER NAME
14	159	MISSING OR INVALID MODE OF TRANSPORTATION
		CODE MISSING OR INACCURATE BONDED LOCATION
14	160	FOR INSPECTION





If the	Then a valid	Description
Reason	Sub Reason	
code is:	Code can be:	A GOOD OF THE ALL OF T
14	161	MISSING OR INVALID NUMBER OF CARTONS CONTAINING WILDLIFE
14	162	MISSING OR INVALID US IMPORTER
14	163	MISSING OR INVALID FOREIGN EXPORTER
14	164	MISSING OR INVALID SHIPPING AGENT
14	165	MISSING OR INVALID FREIGHT FORWARDER
14	166	MISSING OR INVALID SCIENTIFIC GENUS NAME
14	167	MISSING OR INVALID SCIENTIFIC SPECIES NAME
14	168	MISSING OR INVALID SCIENTIFIC SUBSPECIES NAME
14	169	MISSING OR INVALID COMMON NAME
14	170	MISSING OR INVALID FOREIGN CITES PERMIT NUMBER
14	171	MISSING OR INVALID U.S. CITES PERMIT NUMBER
1.	171	MISSING OR INVALID MARKINGS ON
14	172	CARTONS CONTAINING WILDLIFE
14	173	MISSING OR INVALID DESCRIPTION CODE
		MISSING OR INVALID PGA COMMODITY
14	174	QUALIFIER CODE (SOURCE CODE)
14	175	MISSING OR INVALID QUANTITY OR UNIT OF MEASURE
14	176	CORPORATE ACCOUNT PAYMENT IS OVERDUE
14	177	MISSING OR INVALID VALUE
14	178	INVALID COUNTRY OF SPECIES ORIGIN
14	179	MISSING OR INVALID VENOMOUS WILDLIFE FLAG
14	183	INVALID UNITS OF MEASURE FOR COMMODITY
14	195	ENTRY TYPE NOT ALLOWED FOR PGA
14	196	PN DATA NOT ALLOWED FOR ENTRY TYPE
14	197	INVALID FORMAT FOR MANDATORY EMAIL
		REQUIREMENT
14	201	PGA EXPECTS THAT ITS DATA HAS NOT
		CHANGED ON THIS VERSION. THEREFORE, THE
	100	PROIR REVIEW REMAINS.
21	100	DETAINED
21	101	CANCEL DETENTION
21	181	PENDING REVIEW BY COMPLIANCE STAFF
21	201	PGA EXPECTS THAT ITS DATA HAS NOT
		CHANGED ON THIS VERSION. THEREFORE, THE
25	122	PROIR REVIEW REMAINS.
25	132	DOCUMENTATION NEEDED
25	187	SECOND AND FINAL REQUEST FOR
25	201	INFORMATION DGA EXPECTS THAT ITS DATA HAS NOT
25	201	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE
		CHANGED ON THIS VERSION. THEREFORE, THE





If the	Then a valid	Description
Reason	Sub Reason	
code is:	Code can be:	PROJE REVIEW REMARKS
26	100	PROIR REVIEW REMAINS.
26	108	MISSING REGISTRATION
26	109	REGISTRATION NOT ON FILE
26	110	MISMATCH IN REGISTRATION
26	111	CANCELLED MANUFACTURER FACILITY REGISTRATION
26	112	MANUFACTURER FACILITY REGISTRATION INVALIDATED BY FDA
26	120	INVALID COUNTRY OF SHIPPING
26	122	INVALID EXEMPTION (FME) QUALIFIER
26	158	MISSING CARRIER NAME
26	201	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
28	186	HOLD PENDING ANALYSIS RESULTS OF SAMPLED LINE
28	201	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
37	198	MAY PROCEED RESCINDED – HOLD FOR FURTHER INFORMATION FROM PGA.
37	199	RELEASE RESCINDED – HOLD FOR FURTHER INFORMATION FROM PGA
37	200	REFUSAL RESCINDED – PRODUCT STILL DETAINED
37	201	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
40	146	RE-EXPORT
40	147	DESTROY
40	100	DETAINED
40	101	CANCEL DETENTION
40	102	FULL REFUSE
40	103	PARTIAL RELEASE AND REFUSE
40	144	REFUSAL SATISFIED
40	180	DIVERT TO ACCEPTABLE USE
40	182	REFUSED-INFORM BEFORE EXPORT OR DESTRUCTION
40	184	PARTIAL REFUSAL-INFORM BEFORE EXPORT OR DESTRUCTION
40	185	PARTIAL REFUSAL-INFORM AFTER EXPORT OR DESTRUCTION
40	192	REFUSED-INFORM AFTER EXPORT OR DESTRUCTION
40	201	PGA EXPECTS THAT ITS DATA HAS NOT CHANGED ON THIS VERSION. THEREFORE, THE PROIR REVIEW REMAINS.
52	133	INACCURATE MANUFACTURER
52	134	INACCURATE ULTIMATE CONSIGNEE





If the	Then a valid	Description
Reason	Sub Reason	
code is:	Code can be:	
52	135	INACCURATE SHIPPER
52	136	INACCURATE IMPORTER
52	137	INACURRATE FDA PRODUCT CODE
52	138	INACCURATE BILL OF LADING OR AIRWAY BILL
52	139	INACCURATE PRIOR NOTICE INFORMATION
52	191	UNTIMELY PRIOR NOTICE
52	201	PGA EXPECTS THAT ITS DATA HAS NOT
		CHANGED ON THIS VERSION. THEREFORE, THE
		PROIR REVIEW REMAINS.
95	194	DOCUMENTATION CAN BE FOUND IN THE PGA
		DOCUMENTS SECTION IN YOUR ACE PORTAL
		ACCOUNT





PO70 Output Related Codes

Valid PGA Line Level Status codes

PGA Line Level status is the status of a PGAs review at each PGA line level.

Below are the codes associated to Note 1.

Code	Description	
01	DATA UNDER PGA REVIEW	
04	DATA REJECTED PER PGA REVIEW	
08	PN ONLY – PGA DATA RELATED	
	ENFORCEMENT	

If any PGA line with Prior Notice (PN) data is rejected by FDA, then trade will only receive the information related to the PN reject(s). Trade will not receive PN confirmation numbers for PGA lines in this situation that may have been accepted. Trade must resubmit with the updated PN data.

Valid PGA Status Reason Codes

These represent the **reason(s)** each PGA can provide to trade regarding the review status.

These are the codes associated to Note 2.

If the Status Code is:	Then a Valid Reason Code can be:	Description
04	14	DATA REJECTED PER PGA REVIEW
04	26	PN DATA REJECTED
08	52	PN REFUSED INACCURATE PRIOR NOTICE
08	30	PN REFUSED –PRIOR NOTICE NOT SUBMITTED FOR ALL FOODS
08	31	PN-REGISTRATION HOLD-MANUFACTURER NOT REGISTERED





PO71 Output Related Codes

Valid PGA Reference Identification Number Qualifiers

PGA Reference Identification Qualifiers represent the **type** of additional data being provided by the PGA to trade.

Additional codes will be added by PGAs as they are known.

For positions 5-6, valid qualifier codes are:

Code	Description
01	Prior Notice Confirmation Number

For position 61-62, there are currently no valid qualifier codes.

Valid PGA Line Sub Reason Codes

PGA Line Sub Reason codes further describes the reason codes in the PO70.

These codes are associated with Note 4.

There can be multiple sub reason codes for a given PGA line.

The following are valid PGA line sub reason codes:

If the Status Reason code is:	then a valid Sub Reason Code is:	Description
14	107	FOREIGN ULTIMATE CONSIGNEE
14	108	MISSING REGISTRATION
14	109	REGISTRATION NOT ON FILE
14	110	MISMATCH IN REGISTRATION
14	111	CANCELLED FACILITY REGISTRATION
14	112	FACILITY REGISTRATION INVALIDATED BY
		FDA
14	113	INVALID QUANTITY FORMAT
14	114	INVALID ID FOR MANUFACTURER
14	115	INVALID ID FOR SHIPPER
14	116	INVALID ID FOR IMPORTER
14	117	INVALID ID FOR ULTIMATE CONSIGNEE
14	118	INVALID STATE/ZIP FOR US MANUFATURER
14	119	INVALID COUNTRY OF PRODUCTION
14	120	INVALID COUNTRY OF SHIPPING
14	122	INVALID EXEMPTION (FME) QUALIFIER
14	126	INVALID FILER
14	127	INVALID OWNER ENTITY ID





If the Status Reason code is:	then a valid Sub Reason Code is:	Description
14	128	INVALID SUBMITTER ENTITY ID
14	129	INVALID TRANSMITTER ENTITY ID
14	130	INVALID DELIVER TO PARTY ENTITY ID
14	131	MISSING BILL OF LADING OR AIR WAY BILL
14	151	MISSING DATA
14	152	INVALID CANADIAN PROVINCE
14	153	INVALID PRODUCT CODE
26	149	FDA PRODUCT CODE MISMATCH TO
		GROWER/CONSOLIDATOR/MANUFACTURER
		ROLE CODE
26	122	INVALID EXEMPTION (FME) QUALIFIER
52	133	INACCURATE MANUFACTURER
52	134	INACCURATE ULTIMATE CONSIGNEE
52	135	INACCURATE SHIPPER
52	136	INACCURATE IMPORTER
52	137	INACURRATE FDA PRODUCT CODE
52	138	INACCURATE BILL OF LADING OR AIRWAY
		BILL
52	139	INACCURATE PRIOR NOTICE INFORMATION
52	191	UNTIMELY PRIOR NOTICE



