AUTOMATED EXPORT SYSTEM (AES) – OPTION 4

TALKING POINTS

- Under the Option 4 filing program CBP has no advanced electronic data. Commodity data about the exports can be received up to five (5) days after the cargo has been exported. The manifest from the carrier provides transportation information about the shipment up to four (4) days after the departure of the conveyance.
- The Advanced Export Information (AEI) pilot was initiated October 1, 2014, where CBP would receive a limited pre-departure data set prior to departure of the conveyance. The data set consists of 12 data elements, with the balance of the AES data requirements to be filed within five (5) days of export.
- AESDirect filers can use the pilot once CBP takes over AESDirect in Fall/Winter 2015.
- CBP is seeking a limited data set prior to departure for the export transactions in order to conduct screening and make informed risk assessments of export shipment, with the balance of data elements provided on a post-departure basis as eligible.
- CBP is looking at the electronic export manifest to support being able to conduct risk assessments under a future Option 4 program.

BACKGROUND

- Between January and March 2015, the Office of Trade Relations hosted three working group meetings in conjunction with CBP's Office of Field Operations, Office of International Trade, and Census. Industry included the National Association of Manufacturers (NAM) and members from Boeing, 3M, and Fiat Chrysler Automotive US.
- Approximately 1,800 exporters have Option 4 filing status out of approximately 55,000 exporters.
- Of the 1,800 Option 4 exporters, only 234 are either C-TPAT Tier 2 or Tier 3 companies.
- Per Census statistics, Option 4 represents less than 7 percent by value of all exports from the United States.
- The Census Bureau grandfathered a number of companies into the electronic Option 4 filing program for the Shipper's Export Declaration information.
- There is no validation to determine if the information provided by the companies utilizing Option 4 is correct, or if the companies are filing the data.
- New applications to the program were suspended in 2003, due to the lack of visibility for enforcement purposes of Option 4 filed shipments.

CURRENT STATUS
- As of April 2015, the Option 4 Workgroup began operating under the COAC Export Subcommittee and includes a variety of entities with members and exporters from the auto industry, agriculture industry, electronics industry, freight forwarders, as well as air, rail, ocean, and truck carriers.
- The goal of the Option 4 Workgroup is to receive recommendations from the industries impacted by Option 4 on how CBP can best implement the concept of advance information into the current environment.
- The Option 4 Workgroup has scheduled several calls and the discussions have included commodities and/or manifest.

> ➢ Session #1: (April 15) Presentation on Air Manifest/Progressive Filing Model
> ➢ Session #2: (April 22) Discussion on Working Group Strategy
> ➢ Session #3: (April 29) Presentation on Trusted Trader Approach
> ➢ Session #4: (May 6) Presentation on Ocean and Air Manifest
> ➢ Session #5: (May 13) Overview of Agriculture
> ➢ Session #6: (June 25) Discussion of the CBP White Paper
> ➢ Session #7: (July 9) Overview of the AEI Pilot and Agriculture Working Group; Update on the ACE Export Manifest for Air Cargo Test Pilot FRN
> ➢ Session #8: (July 23) Presentation on AES Option 4 / Technology Sector