

What's Inside:	
Introduction	Page 1
Searching for an AD/CVD Case	Page 1
Printing an AD/CVD Case	Page 11
Searching for an AD/CVD Case Message	Page 12
Printing an AD/CVD Case Message	Page 18
Additional ACE Resources	Page 20
Appendix A: Message Types	Page 21

#### <u>Introduction</u>

The Department of Commerce (Commerce) is responsible for conducting antidumping/countervailing duty (AD/CVD) investigations and reviews to determine whether and to what degree merchandise is being sold at unfair prices in the United States or whether grants are being paid by a foreign country to a manufacturer, producer or exporter of merchandise to the U.S.

There are three government agencies involved in the investigation, review and collection of anti-dumping and countervailing duties. Commerce and the International Trade Commission investigate whether dumping or subsidies exist and whether injury has occurred. Based on findings, Commerce instructs U.S. Customs and Border Protection (CBP) on when to begin suspending entry summaries and specifies bonding requirements and assessments on anti-dumping and countervailing duties.

#### Searching for an AD/CVD Case

An AD/CVD case is an electronic reference file used to validate in-bound entry summary transactions. A case is created upon initiation of an AD/CVD investigation based on the company and country under investigation. The case information is maintained electronically to reflect case and company specific information, including milestone dates and cash deposit rates.

All users will be able to search for and display an AD/CVD case. Numerous filters are available to aid in searching for a case. Please note filters are not case sensitive. AD/CVD case information is located under the "References" tab in the ACE portal.



To search for an AD/CVD case:

Select the References tab





- 2. Select **AD/CVD Cases Search** in the "*Task Selector*" portlet. The "*Search AD/CVD Cases*" portlet displays.
- 3. Enter at least two search criteria in the "Search AD/CVD Cases" portlet. To search for an AD/CVD case when the case number is not known, you must enter at least *two* of the following criteria:
  - "Case #": Enter the case number or at least four alphanumeric characters followed by an asterisk (\*), e.g., A580\* to perform a wildcard search using an abbreviated case number. Dashes do not need to be included.
  - "Country": Select the ISO country and country name from the drop down menu.
  - "HTS #": Enter the 10 digit Harmonized Tariff Schedule (HTS) number or at least four digits of the HTS number followed by an asterisk to perform a wildcard search, e.g., 9403\*.
  - "Party Name": Enter the party name or at least four characters of the manufacturer or exporter name to perform a search. No wildcard is required.
  - "Party ID": Enter the party ID for the manufacturer or the exporter or perform a wildcard search by entering a minimum of four characters followed by an asterisk.
  - "Company Status": Select a company status ("Active" or "Inactive") from the drop down menu.
  - "Short Description": Enter a description of up to a maximum of 30 characters or enter at least four characters of the description to perform a search. Any keyword matching the short description will return results. No wildcard is required.





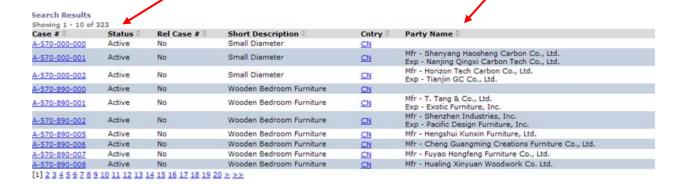


If you know the AD/CVD Case number, no additional criteria is required to execute the search.

- 4. Enter your search criteria and select the **Search** button. The results are displayed at the bottom of the portlet, sorted alphanumerically by case number. If the search fails to display the intended results, select the **Clear** button and redefine your search criteria.
- 5. The following information is displayed for the case:
  - "Case #": The company case number.
  - "Status": The status of the case ("Active" or "Inactive").
  - "Rel Case #": The related case indicator ("Yes" or "No"). If there is a related case, select Yes to view its details.
  - "Short Description": The brief description of the case.
  - "Cntry": The country code Select the **Country Code** hyperlink to view additional information about the country.
  - "Party Name": The name and type of party, such as "manufacturer" or "exporter."

There are ten cases displayed out of a total of 323. In this view only 10 cases will be displayed on the screen.

The Up ( $\blacktriangle$ ) and Down ( $\blacktriangledown$ ) arrows next to each label are used to sort the list in ascending or descending order.





The horizontal arrows and page numbers ( $\leq \leq [1] \ 2 \ 3 > \geq >$ ) allow the user to navigate between pages. To go to the first or last page of the list, select the double backward arrows ( $\leq <$ ) or double forward arrows ( $\geq >$ ). Selecting the single arrows advances the user forward ( $\geq$ ) or backward ( $\leq$ ) a single page at a time. The user can also select a page number ([1] 2 3) to go directly to that page, if it is displayed.

6. Select the **Case #** of the case you want to view. The "View AD/CVD Company" portlet displays with details about the selected case.



- 7. The "View AD/CVD Company" portlet is divided into: the "Company Header" section, the "DOC-IA Contact" section and the sub-tabs.
- 8. "Company Header" fields are as follows:
  - "Case #": The company case number.
  - "Create Date": The date the company case was created.
  - "Related Case #": The related case number. If there is a related case, the 10 digit company case number will display.
  - "Country": The International Standards Organization country code and name.
  - "Short Description": The short description of the case.
  - "View Official Case Name": The detailed description of the case.
     Select this hyperlink to navigate to the "View AD/CVD Company Case" portlet.
  - "Status": The status of the company case ("Active" or "Inactive").
  - "Suspend ES": Indicates whether the entry summaries are currently suspended ("Yes" or "No").

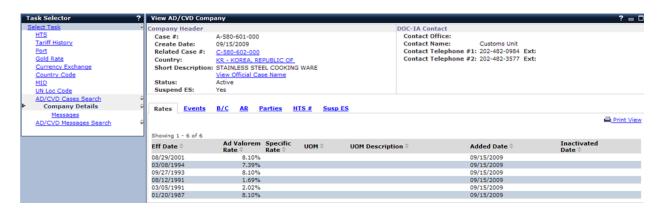


 A "Print View" hyperlink is also available with the option to save or print. See the section in this document titled, "Printing an AD/CVD Case."





Select the **Related Case #** hyperlink (if applicable) to view information on related AD/CVD cases. To return to the "Company Header" portlet, select the **AD/CVD Cases Search** hyperlink in the "Task Selector" portlet.

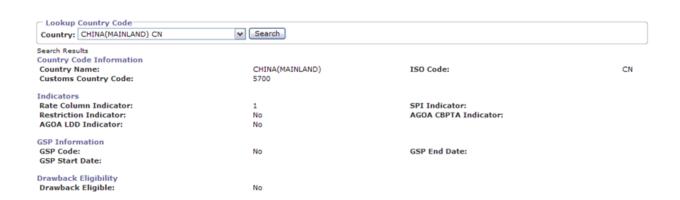




Select the **Country** hype**r**link in the "Company Header" portlet to view information on the country. To return to the "Company Header" portlet, select the **AD/CVD Cases Search** hyperlink in the "Task Selector" portlet.

5







Select the **View Official Case Name** hyperlink to view the official case name. To return to the Company Header portlet, select the **Back** button.



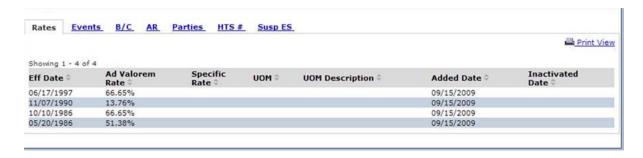
 "DOC-IA Contact" fields display information associated with the Import Administration along with a phone number for inquiries or requests for information.

#### DOC-IA Contact

Contact Office: Group 3, Office 9
Contact Name: Customs Unit
Contact Telephone #1: 202-482-0984 Ext:
Contact Telephone #2: 202-482-3577 Ext:

Seven sub-tabs are displayed for the AD/CVD company case: "Rates," "Events," "B/C," "AR," "Parties," "HTS #" and "Susp ES."





- 10. Select the Rates sub-tab to view the deposit rates. Deposit rates are used to calculate estimated anti-dumping and countervailing duties. Deposit rates can be added with an effective date in the past, in the future or the current date. Multiple rates can be entered for the same effective date. Users have a complete history for determining the rate used in the duty calculation. The "Rates" sub-tab displays the following information:
  - "Eff Date": The effective date of the rate.
  - "Ad Valorem Rate": The Ad Valorem percentage rate.
  - "Specific Rate": The specific rate in dollars.
  - "UOM": The unit of measure for the rate.
  - "UOM Description": The full description of the unit of measure.
  - "Added Date": The date when the rate was added.
  - "Inactivated Date": The date when the rate was inactivated.
  - A "Print View" hyperlink is also available with the option to save or print. See the section in this document title, "Printing an AD/CVD Case."
- 11. Select the **Events** sub-tab to view a chronological list of all the events that occur throughout the lifecycle of an AD/CVD Case, including any determinations associated with the event. The "*Events*" sub-tab displays the different milestones within the lifecycle of a case ("Initiation," "Preliminary," "Final," "Order," "Terminated," etc.)
  - "Eff Date": The effective date of the event.
  - "Event": The type of event associated with the case.
  - "Determination": The determinations associated with the event ("Affirmative" or "Negative") based on the result of an investigation by the International Trade Commission and Commerce.
  - "FR Cite": The Federal Register Notice cite associated with the event.
  - "Added Date": The date when the event was added.
  - "Inactivated Date": The date when the event was inactivated.



 A "Print View" hyperlink is also available with the option to save or print. See the section in this document titled, "Printing an AD/CVD Case."



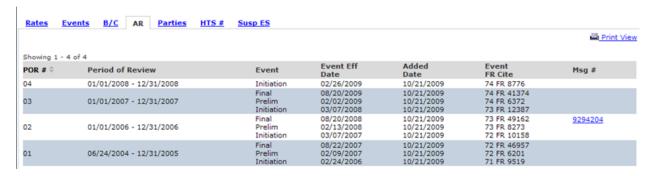
- 12. Select the **B/C** (bond/cash) sub-tab to view whether the Import Administration will allow a bond or cash with respect to deposit rates on the entry summary. The "*B/C*" sub-tab displays the following information:
  - "Eff Date": The effective date of the bond/cash indicator.
  - "Bond/Cash": The bond/cash indicator.
  - "Added Date": The date the B/C indicator was added.
  - "Inactivated Date": The date the B/C indicator was inactivated.
  - A "Print View" hyperlink is also available with the option to save or print. See the section in this document titled, "Printing an AD/CVD Case."



- 13. Select the **AR** sub-tab to view the Administrative Review Periods of the AD/CVD case. During these Periods of Review (POR), the Import Administration reviews transactions pertaining to the AD/CVD case and provides its findings. There can be multiple PORs and each POR is usually the same date as the anniversary date of the case. The following events occur during the POR: "Initiation," "Prelim," "Amended Prelim," "Final" and "Amended Final." The "AR" sub-tab displays the following information:
  - "POR #": The period of review number.
  - "Period of Review": The start and end dates of the POR.
  - "Event": The event associated with the POR.
  - "Event Eff Date". The effective date of the event.



- "Added Date": The date the event was added.
- "Event FR Cite": The Federal Register Notice cite for the event.
- "Msg #": The message number. Select the message number hyperlink to view the message.
- A "Print View" hyperlink is also available with the option to save or print. See section in this document titled, "Printing an AD/CVD Case."

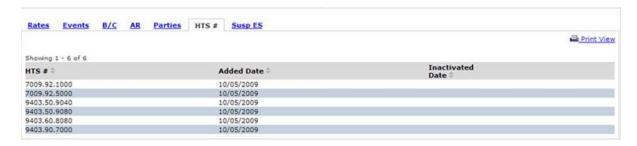


- 14. Select the **Parties** sub-tab to view the manufacturer and foreign exporter information associated with the AD/CVD case. For both the manufacturers and the exporters, the ID, Name and Address will be displayed.
  - A "Print View" hyperlink is also available with the option to save or print. See section in this document titled, "Printing an AD/CVD Case."



- 15. Select the **HTS** # sub-tab to display the HTS numbers associated with the AD/CVD case. The HTS # sub-tab displays the following information:
  - "HTS #": The HTS number of the merchandise associated with the AD/CVD case.
  - "Added Date": The date the HTS number was added.
  - "Inactivated Date": The date the HTS number was inactivated.
  - A "Print View" hyperlink is also available with the option to save or print. See section in this document titled, "Printing an AD/CVD Case."





- 16. Select the **Susp ES** sub-tab to view a chronological list of all of the suspended entry summary indicators associated with the AD/CVD case. The "Susp ES" sub-tab displays the following information:
  - "Eff Date": The effective date.
  - "Suspend ES": The date the suspension of entry summaries was either started or stopped.
  - "Added Date": The date the suspension was added.
  - "Inactivated Date": The date the suspension was inactivated.
  - A "Print View" hyperlink is also available with the option to save or print. See section in this document titled, "Printing an AD/CVD Case."



The" *Task Selector* "portlet contains the "*Company Details*" hyperlink which allows you to return to the last previously displayed case search.

If you navigate away from a search results screen and then want to return to the case, simply select the **Company Details** hyperlink and ACE displays the results of the last case search.



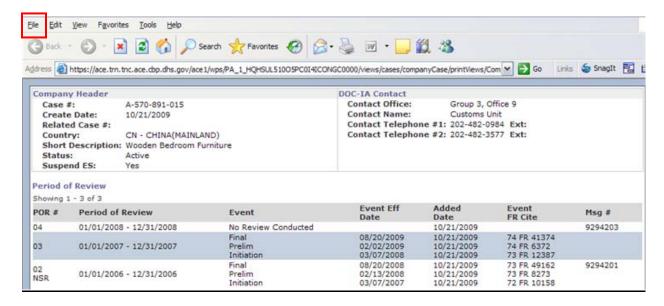


Once a case displays, the trade also has the option to select the **Messages** hyperlink, located in the "*Task Selector*" portlet to view any messages associated with the displayed case.



#### Printing an AD/CVD Case

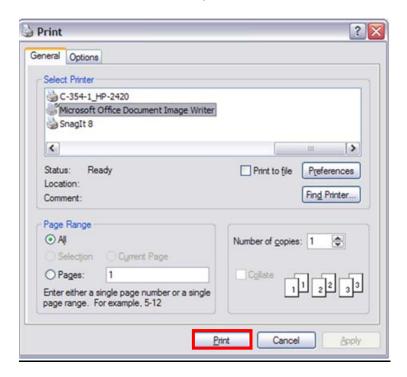
- 1. Select the **Print View** hyperlink.
- 2. A pop-up window will display with the "Company Header," the "DOC-IA Contact" and the sub-tab selected.



3. Select **File** then select **Print** to print the screen displayed. A print pop-up window will display.



- 4. Accept the printer default or choose a printer from the drop-down menu to print the screen displayed.
- Select the **Print** button to print.





To save the screen displayed in lieu of printing it, select **File** then select **Save**.

#### Searching for an AD/CVD Message

AD/CVD messages are used by Commerce to instruct CBP to take some type of action based on a milestone case decision. Currently the trade community accesses CBP.gov to view public messages concerning AD/CVD cases.

This release will provide the option for users to view more detailed AD/CVD messages through the ACE Secure Data Portal ("Portal"). All users will now be able to search for and display AD/CVD case messages via the Portal. AD/CVD case messages are located under the "References" tab.





To search for an AD/CVD case:

1. Select the **References** tab



- 2. Select **AD/CVD Messages Search** in the "*Task Selector*" portlet. The "*Search AD/CVD Messages*" portlet displays.
- 3. Enter at least two search criteria in the "Search AD/CVD Messages" portlet. To search for an AD/CVD message when the case number is not known, you must enter at least *two* of the following criteria:
  - "Message #": Enter the message number or a minimum of four characters followed by an asterisk to perform a wildcard search on the message number.
  - "Message Type": Select the message type from the drop-down menu (e.g., "ARF," "INF," etc.) See Appendix A for a complete list of message types.
  - "Message Subtype": Select the message subtype from the drop-down menu (e.g., "TRO – Temp Rest Order"). The message subtype will vary depending on the message type.
  - "Message Status": Select either "active" or" inactive" from the dropdown menu.
  - "Message Date (From)": Enter the start date for the message search. The date format is mm/dd/yyyy.
  - "Message Date (To)": Enter the end date for the message search. The date format is mm/dd/yyyy.
  - "Case #": Enter the case number or a minimum of four characters. (e.g., A570\*) followed by an asterisk to perform a wildcard search on the case number.
  - "Court #": Enter the court order number for the AD/CVD case.
  - "Party ID": Enter the party indicator for either the manufacturer or the foreign exporter.
  - "Message Title (RE)": Enter up to 30 characters of the message title or enter at least four characters of the title to perform a search. Any keyword matching the message title will return search results.
  - *"Reference Message #"*: Enter another message number to view messages that are related to the current message.



- "POR Search Date": Enter a date that falls within the period of review.
   The date format is mm/dd/yyyy.
- "Effective Date": Enter the effective date of the message. The date format is mm/dd/yyyy.



If you know the message number, no additional criteria is required to execute the search.



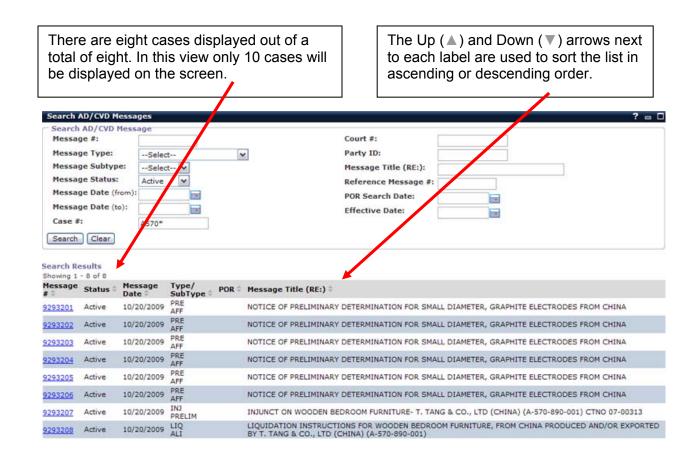
If "Message Date" is selected, both the "From" and "To" date must be entered. The date range is considered to be one field. You will need to enter another parameter before selecting the "Search" button.

 Enter your search criteria and select the **Search** button. The results are displayed at the bottom of the portlet and are sorted alphanumerically by message number.



- 5. The following information is displayed for the message:
  - "Message #:" The message number. Message numbers are based on the Julian calendar date and are assigned by the system.
  - "Status": The status of the message ("Active" or "Inactive").
  - "Message Date": The date the message was issued.
  - "Type/Subtype": The message type and subtype.
  - "POR": The Period of Review date.
  - "Message Title (RE:)": The message title, i.e., the text that is entered in the "RE:" line of the email used to send the message.





Select the message number to navigate to the "View AD/CVD Message" portlet.





- 7. The "View AD/CVD Message" portlet displays with the "Message Header" and two sub-tabs, "Message Body" and "Companies". The "Message Header" contains the following fields:
  - "Message #": The message number.
  - "Case # (s)": The case number. Up to six case numbers can be displayed.
  - "Reference Message # (s)": The message number referring to other related messages. Up to six related message numbers can be displayed. Select the hyperlink to view related messages.
  - "Message Title (RE:)": The message title, i.e., the text that is entered in the "RE:" line of the email used to send the message.
  - "Message Type": The message type (e.g., "ARF," "INF," etc). See Appendix A for a complete list of message types.
  - "Message Subtype": The message subtype associated with the message type.
  - "Category": The category of the message ("Antidumping" or "Countervailing").
  - "Access Type": The access type which defaults to "Public."
  - "POR (Begin)": The beginning date of the first "Period of Review."
  - "POR (End)": The end date of the first "Period of Review."
  - "Period Covered (Begin)": The beginning date of the second "Period of Review."
  - "Period Covered (End)": The end date of the second "Period of Review."
  - "Message Status": The message status ("Active" or "Inactive").
  - "Message Date": The system date the message was approved.
  - "Inactive Date": The date the message was inactivated.



- "Effective Date": The effective date of the public message.
- "FR Cite": The Federal Register Notice cite associated with the message.
- "FR Cite Date": The Federal Register Notice publication date.
- "Court #": The court order number.
- "Lifting of Suspension Date": The date the suspension was lifted.



The hyperlink on the seven digit case number will not work for trade users. If you inadvertently select the hyperlink on the seven digit case number, you will navigate to the "Home" page. To return to the "Message Header" portlet you were viewing, select the "Reference" tab.

- 8. Select the **Message Body** sub-tab to display message information. The "*Message Body*" sub-tab displays the content of the message. A maximum of 9,600 characters can be displayed in the message body.
  - A "View as a PDF" hyperlink is also available and will display the header and company information with an option to print or save.



- 9. Select the **Companies** sub-tab to display company information associated with the message. The following fields will be displayed:
  - "Case #": The company case number.
  - "Rate": The deposit rates used to calculate estimated antidumping and countervailing duties.
  - "Party Ind": The party indicator ("S," "E," "I" or "M") associated with the party name.
  - "Party ID": The identification number assigned to the party.
  - *"Party Name":* The name of the shipper, exporter, importer or manufacturer.
  - A "View as a PDF" hyperlink is also available and will display the header and company information with an option to print or save.



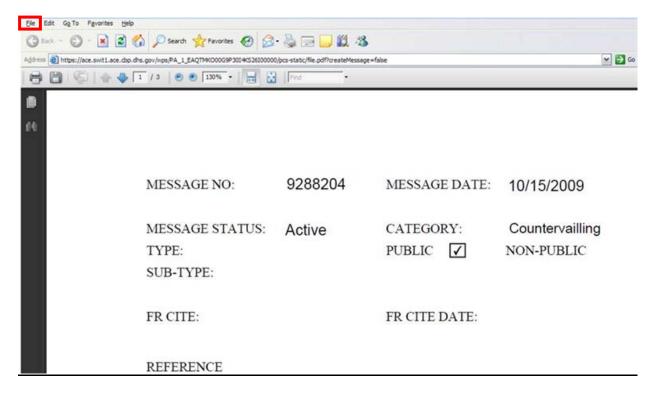




There is a new format for AD/CVD messages in ACE. Messages migrated from ACS into ACE do not have the additional fields. Therefore, you may see two different formats for messages in ACE.

#### Printing an AD/CVD Message

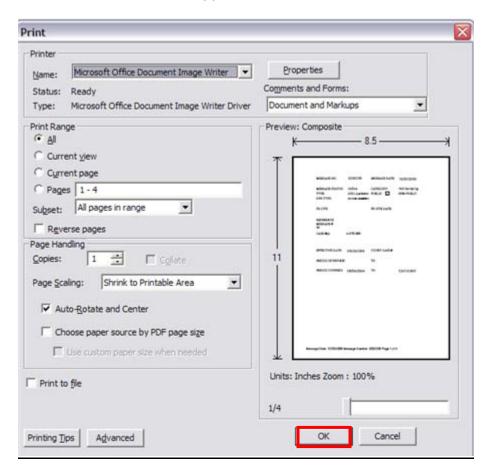
- 1. Select the **View as PDF** hyperlink.
- 2. A pop-up window will display with the requested data in a PDF format for review before printing.



3. Select **File** then select **Print** to print the screen displayed. A print pop-up window will display.



- 4. Accept the printer default or choose a printer from the drop-down menu to print the screen displayed.
- 5. Select the **OK** or **Print** if applicable.





To save the screen displayed in lieu of printing it, select **File** then select **Save**.



#### **Additional ACE Resources**

For additional assistance, take the web-based training (WBT) titled "Forms, Declarations, & AD/CVD Cases."

The URL for the ACE Online Training Center and the required user name and password are:

http://nemo.cbp.gov/ace online







**Do you need additional assistance with Managing Cross Account Access?** If you are a trade member calling or if you are calling outside the United States, please contact **Technology Service Desk** at 1-866-530-4172.



#### **Appendix A: Message Types**

ARF – Admin Review Final

ARP – Admin Review Prelim

ENF – Enforcement

FIN - Final Determination

FNO - Final Det w/Order

INF - Information Notice

INI - Initiation of Review

INJ – Injunction

INQ – Inquiry

LIQ - Liquidation

NSR - New Shipper Review

ORD - Order

OTH - Other

**PRE- Preliminary** 

REV – Revocation

SCO - Scope

TER – Termination Notice

WRIT - Writ of Mandamus